

CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

2 D-4

CONSTRUCTION ON STATE HIGHWAY IN
TRINITY COUNTY ABOUT 20 MILES NORTH OF WEAVERVILLE FROM DIENER MINE SIDEHILL
VIADUCT TO EAST FORK STUART FORK CREEK BRIDGE
In District 02 on Route 3

UNDER

Notice to Bidders and Special Provisions dated November 7, 2016
Project plans approved September 28, 2016
Standard Specifications dated 2015
Standard Plans dated 2015

Identified by

Contract No. 02-2H3504

02-Tri-3-49.7/53.7

Project ID 0216000088

Bids open Wednesday, December 14, 2016

Dated November 7, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-2H3504 Project ID: 0216000088

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Name of Bidder J.F. Shea Construction, Inc.

Bidder ID VC0000022972

Business Street Address P.O. Box 494519

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Redding, CA, 96049

Telephone No. 530-246-4292

Fax No. 530-246-9940

Contractor License No.769989

California Department of Industrial Relations Registration number** 1000001536

Checksum 67DF1D05

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:0
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
 - 4.1 Criminal prosecution
 - 4.2 Rejection of the bid
 - 4.3 Rescission of the award
 - 4.4 Termination of the Contract

PRINTED NAME:Ed Kernaghan AND

TITLE OF PERSON SIGNING:Vice President

BY (Authorized signature)

DATE SIGNED(Do not type)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030 PLAN	LEAD COMPLIANCE	LUMP SUM LS	LUMP SUM	6,375.00
2	120090 AREA SIGNS	CONSTRUCTION	LUMP SUM LS	LUMP SUM	2,770.00
3	120100 SYSTEM	TRAFFIC CONTROL	LUMP SUM LS	LUMP SUM	54,804.00
4	120152 PAVEMENT MARKING (TAPE)	TEMPORARY	88.0 SQFT	8.00	704.00
5	128652 (LS)	PORTABLE CHANGEABLE MESSAGE SIGN	LUMP SUM LS	LUMP SUM	1,775.00
6	130100 MANAGEMENT	JOB SITE	LUMP SUM LS	LUMP SUM	1,445.00
7	130200 PROGRAM	PREPARE WATER POLLUTION CONTROL	LUMP SUM LS	LUMP SUM	660.00
8	130900 CONCRETE WASHOUT	TEMPORARY	LUMP SUM LS	LUMP SUM	1,190.00
9	140003 COMPLIANCE PLAN	ASBESTOS	LUMP SUM LS	LUMP SUM	4,425.00
10	141120 WASTE	TREATED WOOD	1,240.0 LB	1.00	1,240.00
11	149001 PLAN (NATURALLY OCCURRING ASBESTOS)	DUST CONTROL	LUMP SUM LS	LUMP SUM	1,650.00
12	150661	REMOVE GUARDRAIL	50.0 LF	56.00	2,800.00

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	160.0 SQFT	11.00	1,760.00
14	151573	RECONSTRUCT GUARDRAIL	75.0 LF	95.00	7,125.00
15	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	730.0 SQYD	7.30	5,329.00
16	190185	SHOULDER BACKING	1,410.0 TON	63.00	88,830.00
17	390011	PREPAVING INERTIAL PROFILER	LUMP SUM LS	LUMP SUM	17,000.00
18	390020	PREPAVING GRINDING DAY	5.0 EA	8,500.00	42,500.00
19	390095	REPLACE ASPHALT CONCRETE SURFACING	200.0 CY	418.00	83,600.00
20	390132	HOT MIX ASPHALT (TYPE A)	4,390.0 TON	109.50	480,705.00
21	394060	DATA CORE	LUMP SUM LS	LUMP SUM	2,400.00
22	397005	TACK COAT	20.0 TON	620.00	12,400.00
23	820151	OBJECT MARKER (TYPE L-1)	2.0 EA	84.00	168.00
24	032580	TRANSITION RAILING (TYPE-WB)	2.0 EA	5,000.00	10,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25(F)	032581 (TRANSITION)	CONCRETE BARRIER	12.5 LF	900.00	11,250.00
26	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	1.0 EA	4,400.00	4,400.00
27	840515	THERMOPLASTIC PAVEMENT MARKING	170.0 SQFT	13.50	2,295.00
28	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	63,500.0 LF	0.40	25,400.00
Total Bid					\$875,000.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 1) Business Name Penhall Company
Location City Sacramento State CA
California Contractor License Number 568673
Public Works Contractor Registration Number 1000000860
Portion of Work Subcontracted:

Item	%	Description
17	100	PREPAVING INERTIAL PROFILER
18	100	PREPAVING GRINDING DAY

List this sub? Yes

- 2) Business Name Sapper West
Location City Sacramento State CA
California Contractor License Number 939685
Public Works Contractor Registration Number 1000015923
Portion of Work Subcontracted:

Item	%	Description
1	100	LEAD COMPLIANCE PLAN
7	100	PREPARE WATER POLLUTION CONTROL PROGRAM
9	100	ASBESTOS COMPLIANCE PLAN
11	100	DUST CONTROL PLAN (NATURALLY OCCURRING ASBESTOS)

List this sub? Yes

- 3) Business Name T and S DVBE, Inc.
Location City Anderson State CA
California Contractor License Number 983087
Public Works Contractor Registration Number 1000007688
Portion of Work Subcontracted:

Item	%	Description
2	100	CONSTRUCTION AREA SIGNS
3	100	TRAFFIC CONTROL SYSTEM
5	100	PORTABLE CHANGEABLE MESSAGE SIGN (LS)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

- 4) Business Name Apply-A-Line, Inc.
Location City Anderson State CA
California Contractor License Number 562762
Public Works Contractor Registration Number 1000003300
Portion of Work Subcontracted:

Item	%	Description
27	100	THERMOPLASTIC PAVEMENT MARKING
28	100	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)

List this sub? Yes

- 5) Business Name APEX Fence Co., Inc.
Location City Anderson State CA
California Contractor License Number 269127
Public Works Contractor Registration Number 1000007379
Portion of Work Subcontracted:

Item	%	Description
10	100	TREATED WOOD WASTE
12	100	REMOVE GUARDRAIL
14	100	RECONSTRUCT GUARDRAIL
24	100	TRANSITION RAILING (TYPE-WB)
26	100	ALTERNATIVE FLARED TERMINAL SYSTEM

List this sub? Yes

- 6) Business Name APEX Fence Co., Inc.
Location City Anderson State CA
California Contractor License Number 269127
Public Works Contractor Registration Number 1000007379
Portion of Work Subcontracted:

Item	%	Description
23	100	OBJECT MARKER (TYPE L-1)
25	100	CONCRETE BARRIER (TRANSITION)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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REQUEST FOR SMALL BUSINESS PREFERENCE OR NON-SMALL BUSINESS PREFERENCE

DES-OE-0102.7 (REV 02/2010)

COMPLETE ONE OF THE FOLLOWING:

*Small Business Preference

The undersigned small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs ♦ 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Small Business Certification (Reference) Number:

Date: Signature: _____

*Non-Small Business Preference

The undersigned requests non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs ♦ 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS). The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Date: 12/14/16 Signature: _____

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder ID: VC0000022972

CALIFORNIA COMPANY PREFERENCE

DES-OE-102.9 (REV 11/2008)

This form must be completed by all bidders. Failure of a non-California company to fill out and sign this form may be cause for rejection of its bid. Eligibility for a reciprocal preference for a California company is waived if the California company fails to complete and sign this form under penalty of perjury.

The undersigned certifies that it is a "California company" as defined in Pub Cont Code ♦ 6107 and meets one of the following (enter requested information):

1. I am a California company which has its principal place of business in California.
or
2. I a California company which has its principal place of business in a state in which there is no local contractor preference on construction contracts.
Name of State:
or
3. I a California company which has its principal place of business in a state in which there is a local contractor preference and my company has paid not less than \$5,000 in sales or use taxes to California for construction related activity for each of the 5 years immediately preceding the submission of the bid.
Name of State:
California Sales or Use Tax No.:

The undersigned certifies that it is not a "California company."

4. I a California company.
My principal place of business is in:
(Enter state or country)
Describe any and all bid preferences provided to your company by the state or country in which your company has its principal place of business.
(Attach additional sheets if necessary.)
Describe:

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

DATE _____ Signature of Bidder _____

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: _____

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Shasta

Name: Ed Kernaghan,

being first duly sworn, deposes and says that he or she is

Vice President of

J.F. Shea Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
BIDDER'S BOND
DES-OE 0102.3 (REV 08/2016)
Contract No. 02-2H3504

Bond No. _____

We _____
_____ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN TRINITY COUNTY ABOUT 20 MILES NORTH OF WEAVERVILLE FROM DIENER MINE SIDEHILL VIADUCT TO EAST FORK STUART FORK CREEK BRIDGE In District 02 on Route 3 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 12-14-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: _____, 20____.

Principal: _____

Surety: _____

Address of Surety: _____

(for correspondence or claims)

By _____

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)



State of California, Department of Transportation - Chris Rice

Bond Number: SCA16587282

Contractor Information

Principal: J. F. Shea Construction, Inc. 530-246-4292

Address: P. O. Box 494519 Redding California 96049 United States

Contractor's State Vendor ID Number: VC0000022972

Owner/Obligee Information

Bond Form: Bid Bond in accordance with Contract Specifications

Owner / Obligee: State of California, Department of Transportation

Address: 1727 30th Street, Bidders' Exchange, MS 26 Sacramento California 95816 United States

Bond Information

Surety: Liberty Mutual Insurance Company

Rider Present: [Click here to view](#)

Amount of Bid Security: 10%

Contract ID Number: 02-2H3504

Description of Job: Replace AC surfacing, prepaving grinding, HMA, guardrail, striping. CONSTRUCTION ON STATE HIGHWAY IN TRINITY COUNTY ABOUT 20 MILES NORTH OF WEAVERVILLE FROM DIENER MINE SIDEHILL VIADUCT TO EAST FORK STUART FORK CREEK BRIDGE. District-County-Route-Post Mile: 02-Tri-3-49.7/53.7.

[View AMBest Information](#)

[View Surety Profile](#)

[Treasury List](#)

Primary Agency:

Aon Risk Insurance Services West, Inc.

Power of Attorney Limited to: No Stated Limit

Executed

Executed By:

Simone Gerhard - 11/11/2016 12:56:45 PM ET

Phone: 213-630-1386

Email: simone.gerhard@aon.com

Know all men by these presents that Liberty Mutual Insurance Company, a Corporation duly organized under the laws of the State of Massachusetts, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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